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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/302,578/6
	Filing Date	April 30, 1999
	First Named Inventor	DAVID R. HEMBREE
	Group Art Unit	2829
	Examiner Name	NGUYEN, T.
Total Number of Pages in This Submission	Attorney Docket Number	96-876.1

OFFICE OF PETITIONS

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Amendment filed on 5/14/02 Fax transmittal dated 7/25/02; return receipt postcard
Remarks		Enclosed is a Petition to Withdraw Holding of Abandonment dated November 6, 2002.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Stephen A. Gratton THE LAW OFFICE OF STEPHEN A. GRATTON
Signature	
Date	November 18, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/18/2002	
Typed or printed name	Stephen A. Gratton
Signature	
Date	November 18, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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TC 1700



#13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID R. HEMBREE
SALMAN AKRAM
WARREN M. FARNWORTH
ALAN G. WOOD
JAMES M. WARK
DEREK GOCHNOUR

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DEC 11 2002

OFFICE OF PETITIONS
ART UNIT: 2829

Petition
to
Abandonment
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NOV 29 2002
TC 1700

Serial No.: 09/302,576

Filing Date: April 30, 1999

Examiner: Nguyen, T.

For: HYBRID INTERCONNECT AND SYSTEM
FOR TESTING SEMICONDUCTOR DICE

Attorney Docket No. 96-876.1

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DEC -5 2002
TC 2800 MAIL ROOM

PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37

CFR 1.181(A)

NOVEMBER 18, 2002

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OFFICE OF PETITIONS

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

A Notice Of Abandonment (Paper #12) was mailed by the Patent Office to the Applicant on the November 6, 2002. A copy of the Notice Of Abandonment is attached to this Petition. This Petition is being filed within two months of the Notice of Abandonment as required by 37 CFR 1.181(f).

The Notice Of Abandonment states that the application has been abandoned in view of Applicant's failure to timely reply to the Office Action dated February 14, 2002, having a statutory period for response set to expire on May 14, 2002. However, an Amendment dated May 14, 2002 was mailed on May 14, 2002 in response to the Office Action of February 14,

2002. In addition, at the request of the Examiner, a copy of the Amendment dated May 14, 2002 was facsimile transmitted to the Examiner on July 25, 2002.

As proof of the above statements, attached to this Petition are the following:

1. A copy of the Amendment dated May 14, 2002 which was filed in response to the Office Action dated February 14, 2002. The Amendment included a "Certificate Of Mailing Under 37 CFR §1.8" signed by the Attorney For Applicant, Stephen A. Gratton, indicating the Amendment was deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Amendment (Fee), Washington D.C. 20231 on the 28th day of May 2002.

2. A copy of a "Transmittal Form" which was filed with the Amendment dated May 14, 2002, and included a "Certificate of Mailing" signed by the Attorney For Applicant, Stephen A. Gratton, indicating the Amendment dated May 14, 2002 was deposited with the United States Postal Service as first class mail on May 14, 2002.

3. A copy of a "Fee Transmittal for FY 2002" which was filed with the Amendment dated May 14, 2002.

4. A copy of the return receipt postcard which was included with the Amendment dated May 14, 2002, and returned by the Patent Office indicating a date of receipt of June 5, 2002.

5. A copy of a "FAX TRANSMITTAL" indicating that the Attorney For Applicant, Stephen A. Gratton had facsimile transmitted a copy of the Amendment dated May 14, 2002 to Examiner Nguyen on July 25, 2002.

6. A copy of the Information Disclosure statement dated May 14, 2002 which was submitted with the Amendment dated May 14, 2002, and a copy of a canceled check in the

amount of \$180, which was submitted as the fee for the Information Disclosure Statement.

In view of the foregoing Applicants request that the holding of abandonment be withdrawn, and that the Amendment and the IDS dated May 14, 2002 be entered. Please deduct any required fee(s) or credit any overpayment to Deposit Account Number 07-1857.

DATED this 18th day of November, 2002.

Respectfully submitted:



STEPHEN A. GRATTON
Registration No. 28,418
Attorney for Applicants

2764 S. Braun Way
Lakewood, CO . 80228
Telephone: (303) 989-6353
FAX (303) 989-6538

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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November 18, 2002

Date of Signature



Stephen A. Gratton
Attorney for Applicants



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/302,576	04/30/1999	DAVID R. HEMBREE	96-876.1	7076

7590 11/06/2002
STEPHEN A GRATTON
2764 SOUTH BRAUN WAY
LAKEWOOD, CO 80228



EXAMINER

NGUYEN, TRUNG Q

ART UNIT PAPER NUMBER

2829

DATE MAILED: 11/06/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

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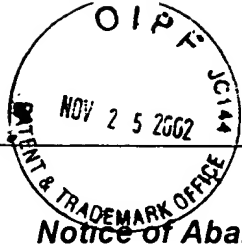
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OFFICE OF PETITIONS

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Notice of Abandonment

Application No.

09/302,576

Examiner

Trung Q Nguyen

Applicant(s)

HEMBREE ET AL.

Art Unit

2829

NOV 29 2002
TC 1700

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

This application is abandoned in view of:

1. ☒ Applicant's failure to timely file a proper reply to the Office letter mailed on 14 February 2002.
 - (a) ☐ A reply was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply (including a total extension of time of _____ month(s)) which expired on _____.
 - (b) ☐ A proposed reply was received on _____, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
 - (c) ☐ A reply was received on _____ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
 - (d) ☒ No reply has been received.
2. ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
 - (a) ☐ The issue fee and publication fee, if applicable, was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
 - (b) ☐ The submitted fee of \$ _____ is insufficient. A balance of \$ _____ is due.
The issue fee required by 37 CFR 1.18 is \$ _____. The publication fee, if required by 37 CFR 1.18(d), is \$ _____.
 - (c) ☐ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
 - (a) ☐ Proposed corrected drawings were received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply.
 - (b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☐ The decision by the Board of Patent Appeals and Interference rendered on _____ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☒ The reason(s) below:

The Examiner called the Attorney of the instant application 4 times over the past 4 weeks, last time being on 10/30/02, regarding a proper reply to the office letter mailed on 02/14/02. The examiner left several messages but no reply has been received.


KAMAND CUNEO
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2800

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.



Docket No. 96-876.1 Serial No. 09/302,576
Date of Deposit: May 14, 2002

The date stamp of the Patent Office hereon may be considered as the date on which papers indicated below were received.

- ☒ Transmittal Form
- ☒ Fee Transmittal Form
- ☒ Amendment/Response to the Office Action dated 5/14/2002
- ☐ A Petition for Extension of Time
- ☒ An Information Disclosure Statement
- ☒ PRO Form 1449
- ☐ Drawings
- ☐ Other Enclosures: _____

Check(s) \$180 #1819

Patent Office dated 5/14/2002

JUN 0 5 2002

Copies of cited references

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Stephen A. Gratton
2764 South Braunn Way
Lakewood, CO 80228

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STEPHEN A. GRATTON
ATTORNEY AT LAW
2764 South Braun Way
Lakewood, CO 80228
Telephone: 303 989 6353
FAX: 303 989 6538

FAX Transmittal

Date: July 25, 2002

Pages: 16 (including this one)

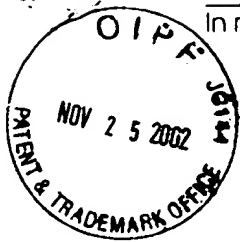
TO:	EXAMINER NGUYEN GROUP 2829
FAX:	(703) 746-4455
FROM:	Steve Gratton
FAX:	(303) 989 6538

Re: 09/302,576
Attorney Docket No.: 96-876.1

EXAMINER NGUYEN
SEE ATTACHED AMENDMENT FILED MAY 14, 2002.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

DAVID R. HEMBREE
SALMAN AKRAM
WARREN M. FARNWORTH
ALAN G. WOOD
JAMES M. WARK
DEREK GOCHNOUR

Serial No.: 09/302,576

Art Unit: 2829

Filing Date: April 30, 1999

Examiner: Nguyen, T.

For: HYBRID INTERCONNECT AND SYSTEM FOR
TESTING SEMICONDUCTOR DICE

Attorney Docket No. 96-876.1

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

May 14, 2002

COPY OF PAPER # 11

Assistant Commissioner of Patents
BOX AMENDMENT (FEE)
Washington, DC 20231

Dear Sir:

The citations listed on the accompanying form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted:

Stephen A. Gratton, Registration No. 28,418
Attorney for Applicants

2764 South Braun Way
Lakewood, CO 80228
Telephone: (303) 989-6353

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May 14, 2002
Date of Signature /

Stephen A. Gratton
Stephen A. Gratton, Attorney for Applicants



Application Number	09/302,576
Filing Date	April 30, 1999
First Named Inventor	HEMBREE et al.
Art Unit	2829
Examiner Name	NGUYEN, T.
Attorney Docket Number	96-876.1

~~COPY~~

Examiner Signature	/	Date Considered	
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/302,576

Filing Date April 30, 1999

First Named Inventor HEMBREE et al.

Group Art Unit 2829

Examiner Name NGUYEN, T.

Total Number of Pages in This Submission

Attorney Docket Number 96-876.1

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☒ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Return receipt postcard

Remarks

Enclosed for filing is an Amendment in response to the Office Action dated February 14, 2002, having a statutory period for response set to expire on May 14, 2002.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Stephen A. Gratton
THE LAW OFFICE OF STEPHEN A. GRATTON

Signature

Date

May 14, 2002

CERTIFICATE OF MAILING

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May 14, 2002

Typed or printed name

Stephen A. Gratton

Signature

Date

May 14, 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☐ Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**180.00**

Complete if Known

Application Number **09/302,576**
Filing Date **April 30, 1999**
First Named Inventor **HEMBREE et al.**
Examiner Name **NGUYEN, T.**
Group Art Unit **2829**
Attorney Docket No. **96-876.1**

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number
Deposit Account Name

07-1857

Stephen A. Gratton

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below except for the filing fee

to the above-referenced deposit account

FEE CALCULATION

1 BASIC FILING FEE

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 140	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 140	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2 EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid
Total Claims - 20** = X =
Independent Claims - 3** = X =
Multiple Dependent =

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
102 15	203 9	Claims in excess of 20
103 64	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
105 64	209 42	** Reissue independent claims over original patent
106 14	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**Number previously paid if greater For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for a <i>parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**180**

SUBMITTED BY

Name (Print)	Stephen A. Gratton	Registration No. (Attorney/Agent)	28,418	Complete (if applicable)
Signature		Telephone	303 989 6353	Date
				May 14, 2002

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May 14, 2002
Assistant Commissioner of Patents
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C10700597940504010679 1822 0000226800

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Deposited On :05/29/2002 \$38425.69

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5/29/2002
Assistant Commissioner of Patents
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Check #1832 Paid :06/06/2002 \$896.00

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C10700597940504010679

Deposited On :05/29/2002 \$38425.69

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Check #1824 Paid :06/03/2002 \$1367.00

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Check #1833 Paid :06/14/2002 \$180.00

STEPHEN A. GRAYTON
ATTORNEY AT LAW
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May 9, 2002
Assistant Commissioner of Patents
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C10700597940504010679 1816 000001295000

Check #1816 Paid :05/23/2002 \$1295.00

STEPHEN A. GRAYTON
ATTORNEY AT LAW
10000179500
May 23, 2002
Assistant Commissioner of Patents
One Hundred Eighty-
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